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HELLENIC REPUBLIC
MINISTRY OF DEVELOPMENT AND INVESTMENTS
GENERAL SECRETARIAT FOR RESEARCH AND TECHNOLOGY
HELLENIC FOUNDATION FOR RESEARCH AND INNOVATION

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> Athens, 09/08/2019 Ref. No. 15659

# 1st Call for the Science & Society Action "200 Years from the Greek Revolution"

Submission of proposals to promote research projects or actions on the 1821 Greek Revolution and its impact on modern Greece (3rd amendment)

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## DOCUMENT BACKGROUND

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1564	27/04/2018	Initial version	
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		Participation of independent experts in the	
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15152	22/07/2019	Section 3	5
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#### The Director of the Hellenic Foundation for Research and Innovation, having regard to:

- 1. Law 4429/2016 on "Hellenic Foundation for Research and Innovation and other provisions", and especially Articles 2(1), 5(2-9), 8(2-9) and 9(7);
- 2. Law 4485/2017 on "Organisation and operation of higher education, regulations on research and other provisions" (114/A), as in force;
- 3. Law 4310/2014 on "Research, technological development and innovation and other provisions", as in force;
- 4. Law 3187/2003 on "Higher Education Military Institutes (ASEI)" (233/A), as in force;
- 5. Decision no. 195245/15.11.2018 issued by the Ministers of Education, Research and Religious Affairs, Economy and Administrative Reform on "Internal Regulation of the Hellenic Foundation for Research and Innovation (H.F.R.I.)" (5252/B);
- 6. Decision no. 245/23.03.2017 issued by the Alternate Minister of Education, Research and Religious Affairs on "Annual planning of actions and resource allocation of the Hellenic Foundation for Research and Innovation (H.F.R.I.) for 2017" (1066/B), as in force after its amendment by Decision no. 223400/19.12.2017 issued by the Alternate Minister of Education, Research and Religious Affairs on "Amendment of Decision no. 245/2017 on Annual planning of actions and resource allocation of the Hellenic Foundation for Research and Innovation (H.F.R.I.) for 2017" (4726/B);
- 7. Decision with Ref. No. 301/04.08.2017 issued by the 6th Meeting of the H.F.R.I. Scientific Council, appointing Dr Nektarios Nasikas as H.F.R.I. Director (YODD/436);
- 8. Decision with Ref. No. 565/16.01.2018 issued by the 14th Meeting of the H.F.R.I. Scientific Council, assigning duties to the H.F.R.I. Director (Online Posting No.: ΨΠΙΛ46M77Γ-86E);
- Decision with Ref. No. 1564/27.04.2018 issued by the H.F.R.I. Director Dr Nektarios Nasikas on "1st Call for the Science & Society Action 200 Years from the Greek Revolution" (Online Posting No.: 6Z6746M77Γ-ETΔ);
- 10. Decision with Ref. No. 9231/07.02.2019 issued by the 43rd Meeting of the H.F.R.I. Scientific Council, approving the 1st amendment to the "1st Call for the Science & Society Action 200 Years from the Greek Revolution" and the 1st amendment to the Evaluation Committee Operation Regulation (Online Posting No.: Ψ6ΕΗ46Μ77Γ-N52);
- 11. Decision with Ref. No. 15091/19.07.2019 issued by the 58th Meeting of the H.F.R.I. Scientific Council, approving the 2nd amendment to the "1st Call for the Science & Society Action 200 Years from the Greek Revolution" (Online Posting No.: ΨΡ0Κ46Μ77Γ-ΙΥΞ);
- 12. Decision with Ref. No. 9231/07.02.2019 issued by the 43rd Meeting of the H.F.R.I. Scientific Council, approving the 1st amendment to the "1st Call for the Science & Society Action 200 Years from the Greek Revolution" and the 1st amendment to the Evaluation Committee Operation Regulation (Online Posting No.: Ψ6ΕΗ46Μ77Γ-N52);
- 13. Presidential Decree 81/08.07.2019 on "Formation, merger, renaming and abolishment of Ministries and determination of their duties Transfer of services and duties between Ministries" (119/A);
- 14. Decision with Ref. No. 15227/24.07.2019 issued by the 59th Meeting of the H.F.R.I. Scientific Council, amending Calls for Action issued by the Foundation;
- 15. the fact that the budget of the Action is funded by the H.F.R.I. budget, and is specifically covered by funds from the Public Investment Programme on the one hand and the Agreement dated 15.07.2016 between the Hellenic Republic and the European Investment Bank on the other;

- 16. Decision with Ref. No. 7886/21.12.2019 issued by the 39th Meeting of the H.F.R.I. Scientific Council on "Approval for undertaking long-term commitment", as amended by Decision with Ref. No. 15224/24.07.2019 issued by the 59th Meeting of the H.F.R.I. Scientific Council;
- 17. Decision with Ref. No. 15599/06.08.2019 on decision to undertake commitment for 2019.

#### **CALLS**

Interested academic staff (DEP/EP) of universities (AEI) and higher education military institutes (ASEI), research staff of research centres and institutes (EK-I), as well as natural persons, provided that their proposal has been accepted by the relevant AEI or EK-I, to submit their proposal in the context of this call on "200 Years from the Greek Revolution", under the terms and conditions listed below:

#### 1. AIM & GENERAL INFORMATION OF CALL

The main aim of the Call is to fund proposals dedicated exclusively to the public promotion of research projects or actions relevant to the 1821 Greek Revolution. In specific, the Call aims to fund the public promotion of research projects and actions of **high scientific and/or artistic quality, which use innovative methods** to showcase various aspects of the 1821 Greek Revolution, such as its impact on how the Greek economy, society, culture and politics were developed and shaped within Greece and/or the European perspective.

The main condition for funding a proposal is that it touches on an existing or an ongoing research, or a newly implemented research in regard to the Greek Revolution of 1821, but only to the extent that it supports the aforementioned aim of the Call. Proposals that pertain exclusively to funding the implementation of new research projects (e.g. doctoral dissertation authoring) are inadmissible.

Proposals may be submitted by academic staff (DEP/EP) of universities (AEI) (Article2[xi] of Law 4485/2017) and higher education military institutes (ASEI) (Article 8 of Law 3187/2003), and the research staff of research centres and institutes (EK-I) (Article 18 of Law 4310/2014). Natural persons may also participate, provided their proposal has been accepted by a university department or a research centre/institute. It is noted that the possibility of cooperation with research or academic institutes abroad has been provisioned.

The Call is integrated within the H.F.R.I. action plan, in accordance with Decision no. 245/23.03.2017 issued by the Alternate Minister of Education, Research and Religious Affairs on "Annual planning of actions and resource allocation of the Hellenic Foundation for Research and Innovation (H.F.R.I.) for 2017" (1066/B), as in force and following amendment by Decision no. 223400/19.12.2017 issued by the Alternate Minister of Education, Research and Religious Affairs on "Amendment of Decision no, 245/2017 on Annual planning of actions and resource allocation of the Hellenic Foundation for Research and Innovation (H.F.R.I.) for 2017" (4726/B).

#### 2. PROJECT DURATION

The proposed project may run from 24 to 36 months. The start date of project implementation shall be the date the Host Operator (HO) accepts project management and includes it in budget.

#### 3. CALL BUDGET & PROJECT FUNDING

Public expenditure for the Call amounts to €1,030,000. During Phase A of the evaluation, a total of up to 30 proposals will be selected. These proposals may receive funding amounting to €1,000 based on the type of public promotion being proposed, exclusively for the presentation/exhibition of the promotional sample to be submitted during Phase B, at a time and place indicated by the H.F.R.I. The proposed promotional sample may be: an artwork sample, audiovisual material, writing sample, etc.

During Phase B of the evaluation, up to **ten (10)** proposals will be selected and receive funding of up to **€100,000** per proposal.

Funding for the ten proposals will take place in two stages: i) a 60% advance over the total project budget upon signing the H.F.R.I. funding agreement and ii) 40% over the total project budget once all deliverables have been submitted.

#### 3.1 Prevention of Double Funding

Cooperation with other projects is only permitted on the basis of complementarity and of clearly defined and distinguishable roles, both in terms of the object as well as the funding from other Agencies, aiming to ensure the <u>complete prevention of double funding</u>.

#### 4. HOST OPERATORS & COOPERATING AGENCIES

The Host Operator (HO) shall be the AEI/ASEI or EK-I where the Project Coordinator (PC) holds a position or, in the case of a natural person, the corresponding AEI/ASEI or EK-I, the institute or a department thereof, that is accepting the project.

The following may be Host Operators:

- Greek Higher Education Institutes (Article 1 of Law 4485/2017)
- Research Centres/Institutes (Article 13a of Law 4310/2014)
- University Research Institutes (EPI, Article 2[18] of Law 4310/2014)
- Higher Education Military Institutes (ASEI) under Law 3187/2003.

The HO shall provide a certificate of project acceptance and in the case of project funding, it shall be contracted to the H.F.R.I. for project management.

In the context of project implementation, and beyond HO individual organisational departments, it is possible to cooperate with academic or research institutes in Greece and/or abroad. Indicatively, it is mentioned that cooperation may be in the form of accessing technical knowhow, archives, collections, libraries, etc. During Phase A of project submission, the PC must submit a solemn declaration describing their intention to cooperate with the relevant Cooperating Agency (CA) in the context of the project proposed.

#### 5. ELIGIBILITY CONDITIONS

#### **5.1 Project Coordinator**

The proposal is submitted by one (1) PC, who belongs to HO faculty (academic or research staff) or who is a natural person whose proposal has been accepted by the relevant AEI/ASEI Department or EK-I.

Eligible to participate is anyone elected to a relevant position at the HO up to the proposal submission date of Phase A, irrespective of whether their appointment decision has been issued to that date. Upon submitting their proposal during Phase A, they must include the relevant election decision to the HO and their appointment decision, at a later date.

In the event of natural persons, they must submit a certificate of project acceptance from the corresponding AEI/ASEI or EK-I. If said certificate is not available on the proposal submission date, then a solemn declaration of corresponding content must be submitted by the PC.

#### Each PC is permitted to submit only one (1) proposal.

#### **5.2 Project team composition**

Apart from the PC, the project team (PT) may also include HO regular staff or any other natural person, who will be contracted to the HO exclusively for the purposes of the proposed project.

Each PT member may participate at most to one (1) proposal alone. Note that there is no

restriction as to the maximum number of members forming a PT. It is possible for some PT members not to have been mentioned by name upon proposal submission. In this case, the qualifications required for each position must be listed within the submitted proposal. Corresponding PT members shall be selected based on above qualifications, once the HO has issued a call for expression of interest, in accordance with provisions of the legislation in force.

Staff members of research or academic institutes abroad, may also participate in the PT.

#### 5.3 Examples of deliverables

Some examples of deliverables for submitted proposals may include:

- Publication of printed and/or online books, monographs, and/or articles in international journals.
- Production of audiovisual material (e.g. documentary, movie, TV series, cartoons, etc.) or multimedia re-enactments (artistic videos, multiple and new media, digital art forms) or any form of Art (theatrical play, music, painting, sculpting, engraving, photography, artistic installations, etc.).
- Organisation of one-day events, educational seminars, etc.
- Organisation of interactive exhibitions (digital 3D re-enactment applications / virtual reality screenings, etc.).
- Photography exhibition.

#### 6. BUDGET & ELIGIBLE EXPENSES

The project budget shall be further analysed into the following eligible expense categories:

- Staff expenses.
- Consumables.
- Expenses for purchase of equipment, or access to equipment, infrastructure or other resources.
- Travel and transit expenses.
- Marketing and promotional expenses.
- Expenses for entering into contracts for the supply of products and services.
- Other expenses (e.g. property lease, concession of intellectual rights, etc.).
- Administrative expenses & General operating expenses (indirect expenses).

#### Staff expenses

This category includes remuneration for staff members active in the context of project implementation. These expenses pertain to i) HO regular staff working on the project and linked to the HO through a dependent employment relationship (permanent staff, open-ended part-tine or full-time employment agreement) and ii) any temporary staff required for the implementation of the project; who are employed either through a fixed-term employment agreement (part-time or full-time) or through a project lease agreement.

The expenses for regular staff pertain to the provision of additional payment and additional work, in compliance with the legislation in force.

The remuneration for PT members must be in compliance with the legislation in force for each case. Especially in the case of fixed-term private-law employment agreements, remuneration shall be in line with provisions of Law 4354/2015, as in force, while in the case of project lease

agreements, the amount shall be in line with that is specified in Law 4354/2015 regarding private law fixed-term employment agreements.

The remuneration/salary of PT members working abroad, under any employment relationship, is not an eligible expense.

#### Consumables

Expenses for consumables are eligible when pertaining exclusively to project implementation. For example, these may include the purchase of materials of immediate consumption which meet needs relating to project implementation.

## • Expenses for the purchase of or access to equipment, infrastructure or other resources

In order for equipment purchase expenses to be considered eligible, documented justification as to the usefulness of said equipment to proposed project implementation is required. Apart from purchase, this category includes expenses for access to equipment, infrastructure or other resources. For example, considered eligible under this category is access to resources considered necessary for project implementation, such as: access to databases, libraries, archives and collections of agencies in Greece or abroad, procurement of specialized software, conversion of printed and physical audiovisual files into digital files and their further capitalization, etc.

#### • Travel and transit expenses

These relate to travel and transit expenses (e.g. movement expenses, overnight lodging and daily compensation) incurred by the PC or PT members in Greece or abroad, as part of activities directly linked to project implementation. It is noted that non-salaried PT members may also receive transit expenses.

#### • Marketing and promotional expenses

Indicatively, this category may include expenses for publishing books, monographs and articles, expenses for organising and hosting one-day events, seminars and exhibitions, expenses for producing audiovisual material, etc.

#### • Expenses for entering into contracts for the supply of products and services

Expenses for entering into contracts for the supply of products and services are eligible, provided that the agreement procedure specified in the legislation in force has been followed when outsourcing the contract.

#### Other expenses

This category includes expenses that cannot be included in the other categories, such as property leasing expenses, concession of intellectual rights, etc.).

#### • Administrative expenses & General operating expenses (indirect expenses)

Project administrative expenses and HO general operating expenses, are included in the project budget and are remunarated to Special Account for Research Funds (SARF) or relevant HO departments. They are calculated as a percentage over the direct expenses made in all the other categories and can amount up to 5% thereof. Indicatively, these expenses may include administrative support for the project, basic utilities (telecommunications, electricity, internet

#### 7. PROPOSAL SUBMISSION & CONTENT

Each proposal is submitted by the relevant PC through the H.F.R.I. online platform dedicated for this Call. **Each PC may submit** only 1 proposal. Proposals are submitted in Greek.

The submission process takes place in two phases. Specifically, up to **thirty (30)** proposals shall be selected during Phase A, which will receive funding analogous to the proposed promotion, so that the information required may submitted during Phase B.

#### 7.1 Phase A of proposal submission

During Phase A, submission of proposals shall be possible from June  $15^{th}$  2018, to July  $10^{th}$  2018 at 14:00.

During Phase A of proposal submission, the PC must fill out the following fields in the online platform:

- Title and acronym of proposal
- Summary of proposal (50-300 words)
- Keywords (up to 10)
- PC details
- Duration of the project
- Sample project budget (estimated amount to be entered)
- Host Operator (All potential HOs shall be available through the online submission platform [drop-down menu], where only one can be selected).

It is noted that the PC must fill out the required amount, up to €1,000, in a platform relevant field; this will be used exclusively to fund the presentation/exhibition of the proposed promotional sample, to be submitted during Phase B, at a time and place indicated by H.F.R.I.; provided that the proposal is selected during Phase A of the evaluation, based on the type of public promotion proposed.

In addition, PCs must upload the following files (in pdf):

#### • HO project acceptance certificate

For the "HO certificate of project acceptance" to be considered complete and acceptable, it must include the details and logo of the HO, the signature and seal of the HO's legal representative, as it must include the following information as content:

- Name, title and position of PC
- Title and acronym of proposal
- Statement of intent from the HO to be contracted to the H.F.R.I., in the event that the project is funded, to support project implementation by all means.

If an acceptance certificate cannot be submitted by the HO until the project submission deadline in Phase A, the PC may instead submit a solemn declaration regarding the HO's intention to be contracted to the H.F.R.I., in the event that the project is funded, to support project implementation by all means.

Solemn declaration by PC (in the event of cooperation with another agency)

In the event of cooperation with an academic or research agency in Greece and/or abroad, the PC must submit a solemn declaration naming the Cooperating Agency (CA), the nature of cooperation and cooperating members.

#### Proposal Summary

The summary of the proposal should include the aims/object of the proposed project/action. Furthermore, it should be substantiated in terms of originality, of scientific, artistic or social impact, and an implementation general plan/schedule be listed. It must also include a total amount estimate of requested funding, as well as project duration. The proposal summary must not exceed **ten (10) pages**. The bibliography index is included in the 10-page limit.

#### Brief CV of PC

The CV of the PC must not exceed six (6) pages.

In the event that, apart from mandatory files above, the PC wishes to submit a promotional sample of the proposed project, e.g. model of sculpture, audiovisual material, excerpt from book/article, etc., they will be able to do so following pertinent communication.

To confirm that restrictions regarding the length of submitted files are being met, text specifications as listed in Table 1 of Section 8 herein must be followed.

#### 7.2 Phase B of proposal submission

During Phase B of proposal submission, PCs must fill out online platform fields of <u>PT member full</u> <u>details</u>, as well as upload the following files (in pdf):

#### Short CVs of PT members

The CVs of named PT members must not exceed **three (3) pages**.

- HO certificate of project acceptance (in the event it was not submitted in Phase A)
- <u>CA letter of intent</u>

For the "Cooperating Agency letter of intent" to be considered complete and acceptable, it must include the details and logo of the CA, the signature and seal of the CA's legal representative, as it must include the following information as content:

- The CA's intention to cooperate for the specific proposal (mention of proposal title and acronym as well as PC details).
- Description of the type and nature of the cooperation.
- Extended presentation of proposal

The extended presentation of the proposal must contain detailed explanations of everything included in the proposal summary. In addition, it must describe the implementation/methodology plan, including project marketing/promotion plans and deliverables.

The extended presentation of the proposal must not exceed **twenty (20) pages.** The bibliography index is included in the 20-page limit.

#### Detailed budget

The budget should include the following:

- Detailed estimate of expenses
- Human effort and salaries

- Consumables
- Expenses for purchase of equipment, or for access to equipment, infrastructure or other resources
- Travel and transit expenses
- Marketing and promotional expenses
- Expenses for entering into contracts for the supply of products and services.
- Other expenses (e.g. leasing of property, concession of intellectual rights, etc.)
- Administrative expenses & General operating expenses (indirect expenses).

#### Promotional sample of proposal

Depending on the type of promotion proposed, it shall be possible to submit a sample of the proposed project, e.g. model of sculpture, audiovisual material, excerpt from book/article, etc. If it is not possible to submit the sample through the online platform, the delivery method shall be determined following pertinent communication.

To confirm that restrictions regarding the length of submitted files are met, text specifications listed in Table 1 of Section 8 herein must be followed.

#### 8. TEXT SPECIFICATIONS

To confirm that length restrictions are met, the following must be adhered to:

Table 1. Text specifications

Page size	Font	Font size	Line spacing	Page margins
A4	Times New Roman	11 or 12	Single	Top-Bottom: 2.54cm, Left: 3cm, Right: 2cm, Gutter Position: Left, Gutter: 0

Failure to comply with specifications as to the length and formatting of texts shall result in non-eligibility of the proposal and exclusion from the evaluation process.

#### 9. PROPOSAL EVALUATION & EVALUATION CRITERIA

The evaluation process will begin as the deadline for submission of proposals expires, following the preliminary review stage of completeness and eligibility. During the preliminary stage, submitted proposals shall be reviewed to determine whether call terms and restrictions have been followed. Failure to comply with restrictions described in call relevant sections, regarding the maximum number of proposals that PCs and PT members can take part in, as well as those regarding text length and format, shall, by decision of the Scientific Council (SC), result to proposal non-eligibility and exclusion from the evaluation process.

The proposals shall be evaluated by an Evaluation Committee, in accordance with provisions of Law 4429/2016. Provided it is deemed necessary and due to the specialised object of the proposals, a non-binding evaluation of one or more proposals may be requested from one of more independent experts. The evaluation decision for the proposals is made by the Committee. Committee members and experts are appointed by the H.F.R.I. Scientific Council.

The evaluation process is governed by the rules of confidentiality. Committee members and the independent experts shall sign a confidentiality and non-conflict of interest declaration.

Committee members and independent experts are bound by a strict rule of confidentiality, before, during and after the evaluation, as to the entire evaluation process.

Each application is scored on a scale from 0 to 100. The minimum score required in total is 70.

**During Phase A**, the proposals shall be evaluated based on the following two criteria:

#### **Criterion A: Evaluation of the PC (maximum score of 30)**

The PC shall be evaluated based on their CV. In specific, evaluation is based on the project (scientific or artistic), their achievements (scientific or artistic), their scientific/artistic maturity/experience, their ability to fulfil the duty of project coordinator.

#### Criterion B: Evaluation of proposed project (maximum score of 70)

The evaluation of the proposed promotional project shall be based on the following sub criteria:

- Quality of proposed project: The coherence and cohesion of objectives and how they align
  to the call topic are taken into account. Additionally, the suitability of the research
  methodology / artistic approach is also evaluated.
- Innovation of proposed project: The extent to which the research methodology / artistic approach is original and innovative is evaluated.
- Impact of proposed project: The scientific, social and/or artistic impact of the proposed project is evaluated.

**During Phase B**, proposals shall be evaluated based on the following criteria:

#### Criterion A: Evaluation of the PC and PT (maximum score of 30)

The PC shall be evaluated, as in Phase A, on the basis of their CV. In specific, evaluation is based on the project (scientific or artistic), their achievements (scientific or artistic), their scientific/artistic maturity/experience, their ability to fulfil the duty of project coordinator.

Also evaluated is PC commitment, based on their role in implementing the project and the time they are willing to dedicate. With regard to the evaluation of the PT, it shall be evaluated based on the composition of the PT, the scientific/artistic profile of its members based on their CVs, their scientific/artistic experience, how member roles complement each other, role distribution suitabilty, and overall team adequacy/drive to implement the proposed project.

#### Criterion B: Evaluation of the proposed project (maximum score of 70)

As is the case in Phase A, the proposed promotional project shall be evaluated based on the following sub criteria:

- Quality of proposed project: The coherence and cohesion of objectives and how they align to the call topic are taken into account. Additionally, the suitability of the research methodology / artistic approach is also evaluated.
- Innovation of proposed project: The extent to which the research methodology / artistic approach is original and innovative is evaluated.
- Impact of proposed project: The scientific, social and/or artistic impact of the proposed project is evaluated.

The following shall also be evaluated:

- Realistic timeframe in relation to the proposed project.

- Realistic and rational correlation of the budget, to the proposed project and its requirements.

In the event that two proposals receive the same total score, the proposal receiving the highest score in criterion B "Evaluation of proposed project" is considered ahead in the rankings.

#### 10. EVALUATION RESULTS

The results are forwarded to the PC with a personalised report and substatiation of the evaluation decision. The PC is entitled to lodge a decision objection report, on legality grounds, within 10 days of being notified of the evaluation decision for each phase. Objections are assessed by an objections committee, which shall issue a decision within a strict deadline of 10 days from objection submission. The Committee decision is forwarded to the Director and communicated to the interested party.

Upon conclusion of proposal evaluation, final ranking tables are approved and the funding decision (list of proposals to be funded) is issued by the Director of the Foundation, in line with the available budget. This decision is an enforceable administrative act and is subject to petitions for annulment filed with the Administrative Court of Appeals.

Candidates accept that messages sent via email to the email address declared upon submitting the proposal online, are considered notifications and signal the commencement of all legal processes and deadlines.

#### 11. POSTING

The Project Management Guide for the Call shall be posted on the H.F.R.I. website (www.elidek.gr).

The call shall also be posted on the following website: www.gsrt.gr

#### 12. COMMUNICATION & INFORMATION

Detailed information and updates for this call are available through the H.F.R.I.

at 1821@elidek.gr

and by phone on +30 210 6412410, +30 210 6412420.

Technical support for online submission of proposals shall be exclusively available via email: <a href="https://helpelidek.gr">helpelidek.gr</a>.

The H.F.R.I. Director

**Dr Nektarios Nasikas**